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addressed to: Box PCT, Commissioner for Patents, Washington, D.C. 20231
on November 1, 2001
By: [Signature]
Printed: Lyza Finuliar

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tang et al.

Title: PROLIFERATION AND APOPTOSIS RELATED PROTEINS

Serial No.: 09/807,452

Filing Date: To Be Assigned

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

Box PCT
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

1. Return Receipt Postcard;
2. Response to Notification of Missing Requirements (2 pp.);
3. Copy of Notification of Missing Requirements Under 35 U.S.C. § 371 dated June 1, 2001 (1 pg.);
4. Request to Transfer (1 pg.);
5. Copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated June 1, 2001 (1 pg.); and
6. Petition for Extension of Time (3 months) (1 pg.).

The fee has been calculated as shown below.

Basic surcharge fee for filing the Declaration under 37 CFR 1.492(e)	\$ <u>130.00</u>
Fee for Petition for Extension of Time under 37 CFR 1.17(c)	\$ <u>920.00</u>
Total fees charged to Deposit Account No. 09-0108:	\$ <u>1,050.00</u>

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 1 November 2001

[Signature]
Diana Hamlet-Cox
Reg. No. 33,302
Direct Dial Telephone: (650) 845-4639

3160 Porter Drive
Palo Alto, California 94304
Phone: (650) 855-0555
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attn: Box PCT, Washington, D.C. 20231 on November 1, 2001

By: Printed Name: Lyza Finuliar**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Washington, D.C. 20231

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. § 371**

Sir:

In response to the "Notice to File Missing Requirements" and the "Notification to Comply with Requirements," (copy attached) mailed by the United States Patent and Trademark Office on **June 1, 2001**, Applicants submit the documents listed below to complete the filing for the above-identified patent application. The period of time for response has been extended Until November 1, 2001, by the accompanying Petition and fee.

1. Request to Transfer (1 pg., in duplicate);
2. Petition for Extension of Time (3 months) (1 pg.)

Note that items 1 and 3 on the "Notice to File Missing Requirements" are contradictory with respect to the Declaration; in fact, the complete Declaration was filed with the Application on April 11, 2001. A courtesy copy is attached herewith.

Please charge the following fees to **Deposit Account No. 09-0108:**

01/07/2002 UEDUVIJE 00000165 090108 09807452

01 FC:154 130.00 CH

Adjustment dates 01/07/2002 FSHITH
01/07/2002 UEDUVIJE 00000165 090108 09807452
01 FC:154 130.00 CR

Basic surcharge fee for filing the declaration under 37 CFR 1.492(e) \$ 130.00

Fee for Petition for Extension of Time under 37 CFR 1.17(c) \$ 920.00

Total fees charged to Deposit Account No. 09-0108: \$ 1,050.00

If the Patent Office determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) **Deposit Account No. 09-0108**.

If there are any questions regarding the above, the Examiner is invited to call the undersigned at 650-855-0555.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 1 Nov 2001



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JUN - 7 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807452	TANG T	PF-0619 USN
INTERNATIONAL APPLICATION NO.		

INCYTE GENOMICS
3160 PORTER DRIVE
PALO ALTO, CA 94304

PCT/US99/24511

I.A. FILING DATE	PRIORITY DATE
19 OCT 99	20 OCT 98

DATE MAILED: 01 JUN 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
- ☒ Copy of the international application.
- ☒ Oath or Declaration of inventors(s).
- ☐ Copy of Article 19 amendments.
- ☐ Priority Document.
- ☐ The International Preliminary Examination Report in English and its Annexes, if any.
- ☐ Translation of Annexes to the International Preliminary Examination Report into English.
- ☐ Indication of Small Entity Status.
- ☐ Translation of the international application into English.
- ☐ Translation of Article 19 amendments into English.
- ☐ Other:

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee.
- ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

- 6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
- 7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

- Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
- ☐ PTO-875 ☒ PCT/DO/EO/920

Karen Williams *KW*

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3688